FEB 2 6 2002

SCC+ PATENT \$ \$3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Indulis Gruzins et al.

Serial No. : 09/996,480

Filed: November 20, 2001

FOr : POLYOLS CONTAINING CARBOXYL

GROUPS AND PRODUCTION THEREOF

Examiner

Attorney Docket : 102123-200

Group Art Unit : 1623 Confirmation No. : 3615

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on 7 FEB 2002

Ву

Todd E. Garabedian, Ph.D. Registration No. 39,197
Attorney for Applicant(s)

NOTICE TO FILE MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With respect to the Notice to File Missing Parts of Application, mailed <u>December 12, 2001</u>, and having a two month period for response that will expire on <u>February 12, 2002</u>, enclosed is a signed Combined Declaration and Power of Attorney relating to the above-identified patent application.

Ser. No. 09/996,480 Filed: November 20, 2001

Please charge the surcharge of \$130.00 for filing the Declaration to Deposit Account No. $\underline{23-1665}$ as well as any other fees due with respect to this Response.

Two additional copies of this sheet are enclosed along with a copy of the Notice to File Missing Parts of Application.

Respectfully submitted,

INDULIS GRUZINS ET AL.

By

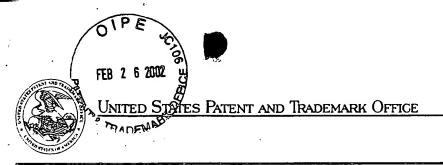
Todd E. Garabédian, Ph.D. Registration No. 39,197 Attorney for Applicant(s)

WIGGIN & DANA One Century Tower New Haven, CT 06508

Telephone: (203) 498-4400 Fax: (203) 782-2889

Date: FEB 7, 2002

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/996,480

11/20/2001

Indulis Gruzins

102123-200

CONFIRMATION NO. 3615

FORMALITIES LETTER

OC000000007185720

Docket Coordinator WIGGIN & DANA, LLP One Century Tower 265 Church Street New Haven, CT 06508-1832

Date Mailed: 12/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 The specification contains drawings or flow diagrams (37 CFR 1.58(a)). Formal drawings in accordance with 37 CFR 1.81 should be submitted.

03/05/2002 JADD01

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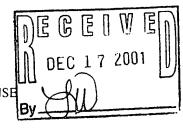
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





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